

Splitting the Bill

Transformative Agreements – Who Pays and How?

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Repository & Research Information Team Leader

Queen Mary University of London

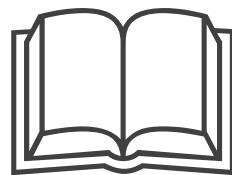
December 2019



Paying for subscriptions ...



Library Subscriptions Budget



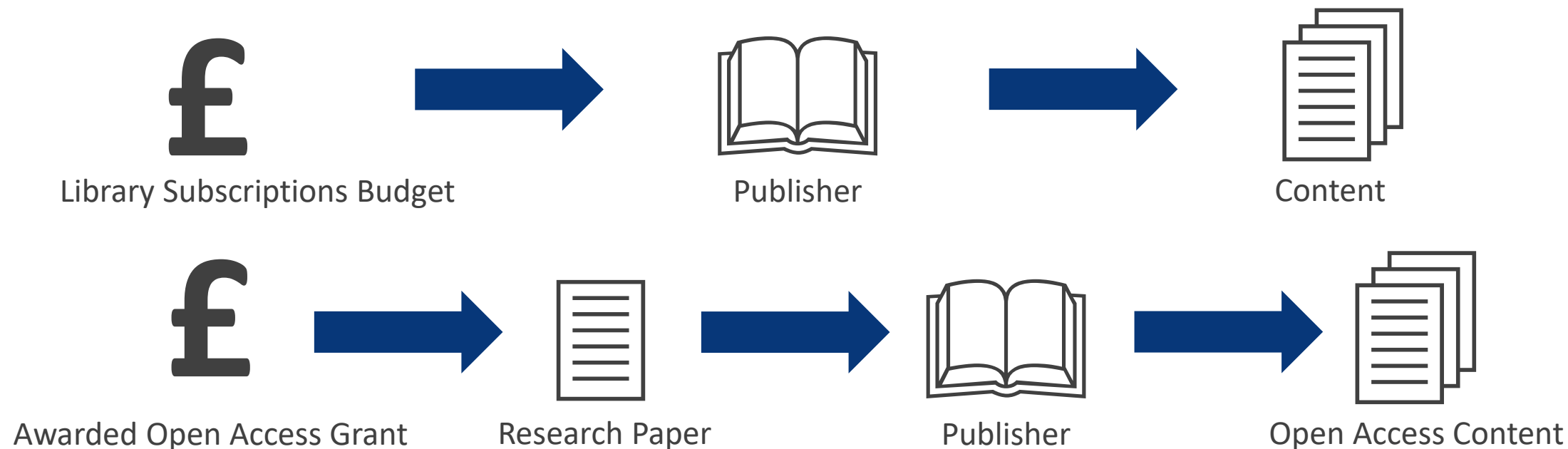
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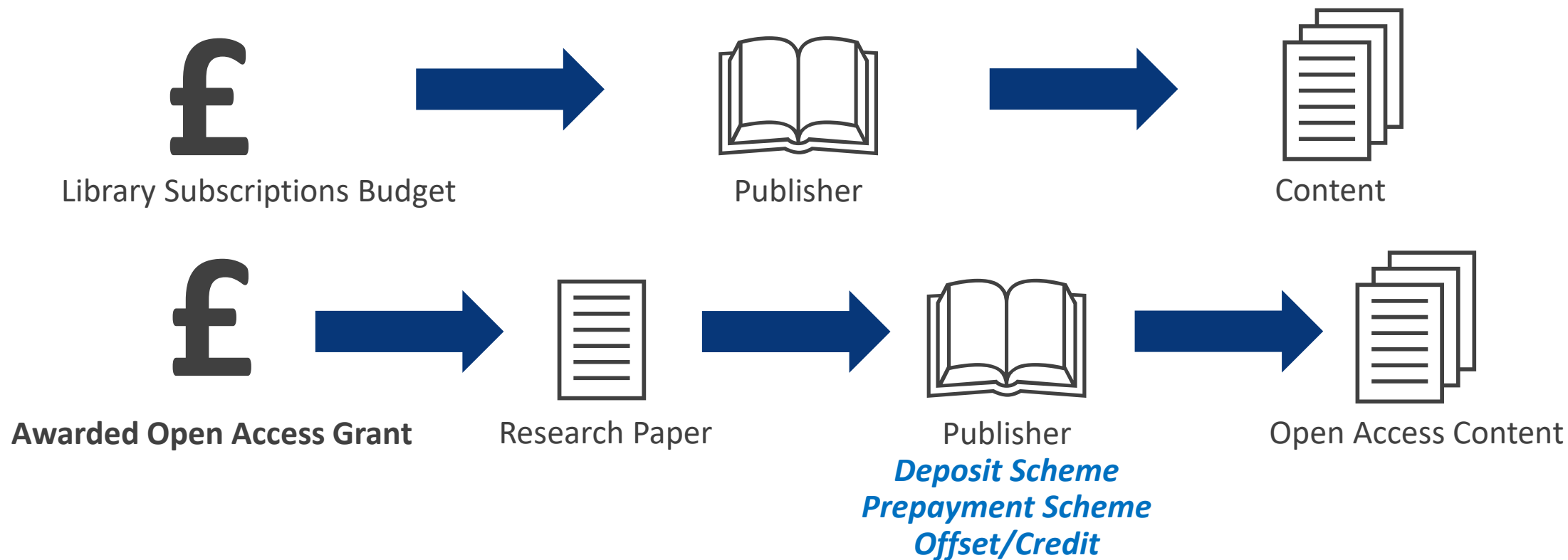
Content



Paying for open access ...



Paying for open access ...



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“A total fee has been negotiated for the UK covering both APCs and subscriptions. In this new ‘flipped’ model, the combination of the licence fees that previously paid for subscribed journal content and previous hybrid OA spend will instead pay for APCs in Springer hybrid journals, **whilst an additional transition fee, calculated at 10% of the licence fee, covers the cost of ongoing access and use of the subscribed journal content.**”

Earney, L. (2015). Springer 2016-2018 Agreement - Your 2016 Quotation. [email].



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“if only 10% of the costs relates to subscribed content, can we offset the cost of the deal from our open access grants?”

Subscriptions Team



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Subscriptions Team

“We will no longer cover the cost of OA publishing in subscription journals (‘hybrid OA’), outside of a transformative arrangement.”

Wellcome Trust – 2021 OA policy



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Subscriptions Team

“We will no longer cover the cost of OA publishing in subscription journals (‘hybrid OA’), outside of a transformative arrangement.”

Wellcome Trust – 2021 OA policy

“Can you come and talk about managing transformative agreements and open access costs at a UKSG event?”

Naming no names ...



Spoiler Alert!

**This Case Study
Contains No Answers**



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Analysing the Deal ... Funded Articles

Year	Agreement Cost	APC	Exchange	Cost to Institution	Articles	OA Costs	% of Costs
2016	€ 107,350	€ 2,200	1.31	£81,659	45	£75,307	92%
2017	€ 107,629	€ 2,200	1.17	£91,786	55	£103,189	112%
2018	€ 108,135	€ 2,200	0.98	£110,760	45	£101,403	92%
2019*	€ 109,413	€ 2,244	1.00	£109,825	26	£58,564	53%

*January – September 2019



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Analysing the Deal ... Funded **Eligible** Articles ... **Open Access Grants**

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2018	€ 108,135	€ 2,200	0.98	£110,760	6	£13,520	12%
2019	€ 109,413	€ 2,244	1.00	£109,825	9	£20,272	18%



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Analysing the Deal ... Will you Take a Cheque?

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- **Retrospective Payment?**
- Based on a Forecast?
- Pay as you Go?



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Analysing the Deal ... OA Grants

UK Research and Innovation (UKRI)

April – March

Charity Open Access Fund (COAF)

October - September

‘Required to show expenditure within the term of the grant’



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Analysing the Deal ... Will you Take a Cheque?

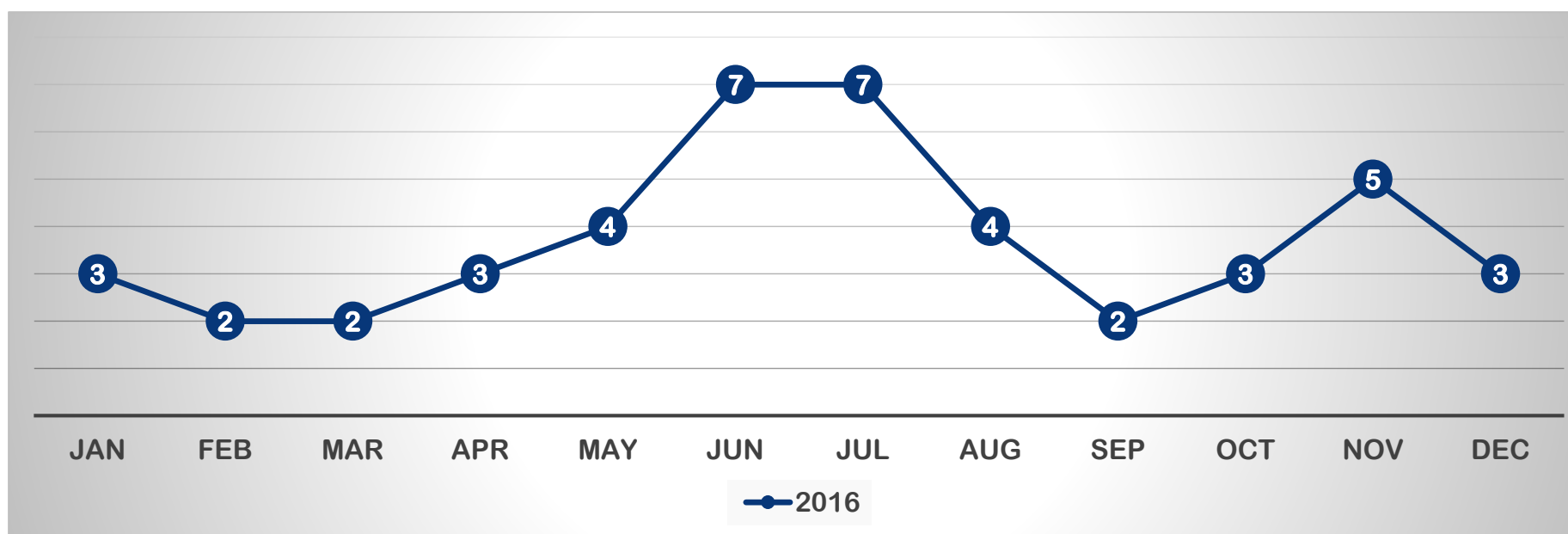
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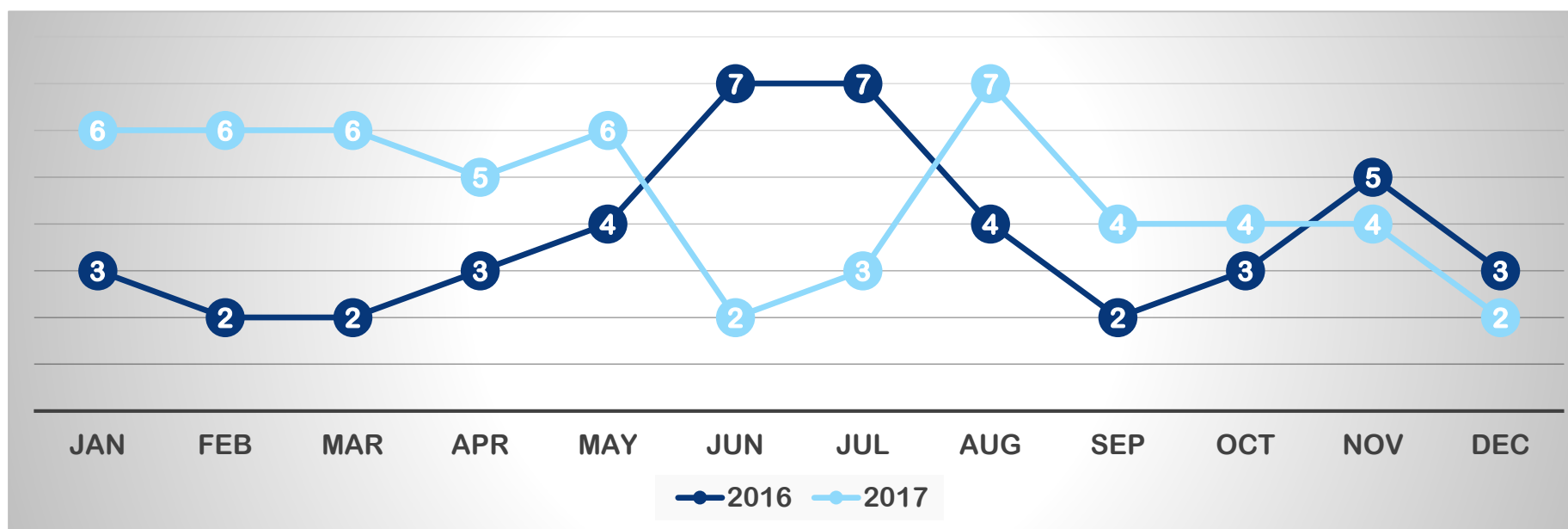
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Analysing the Deal ... Publishing Pattern



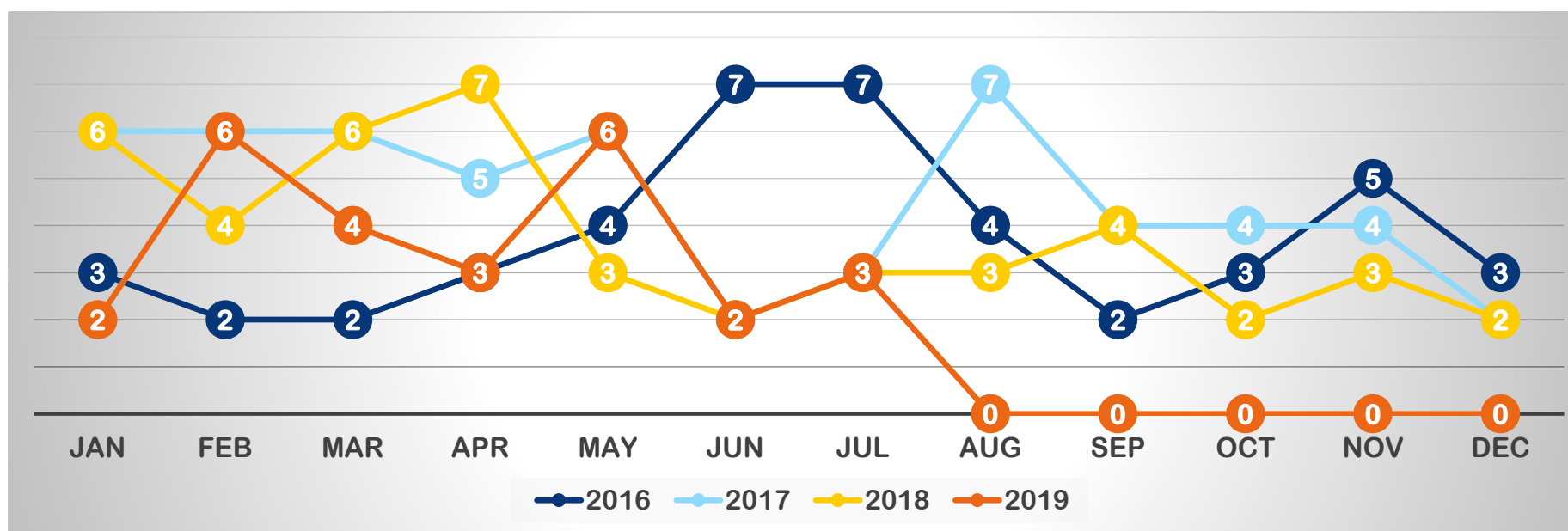
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Analysing the Deal ... Publishing Pattern



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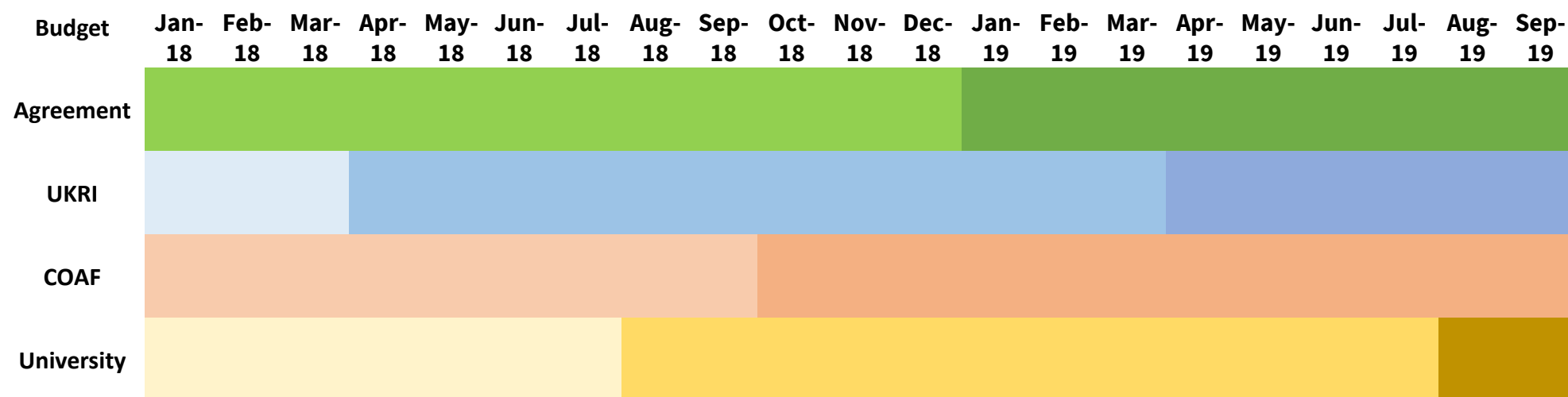
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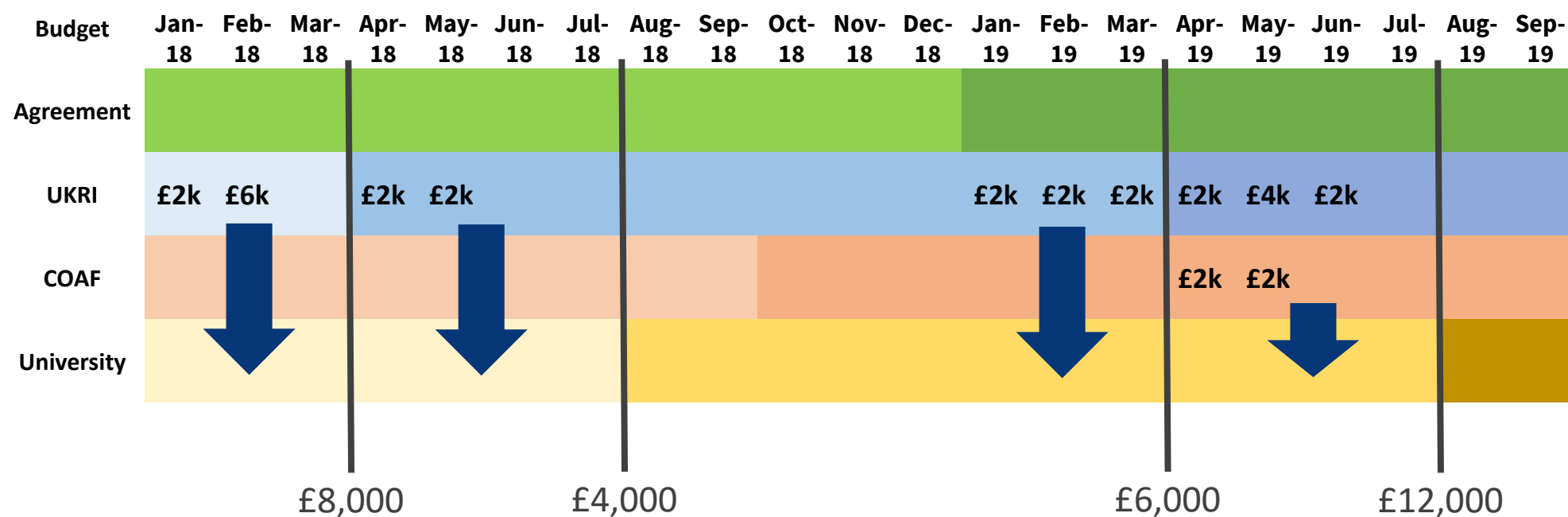
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Analysing the Deal ... Financial Mismatch



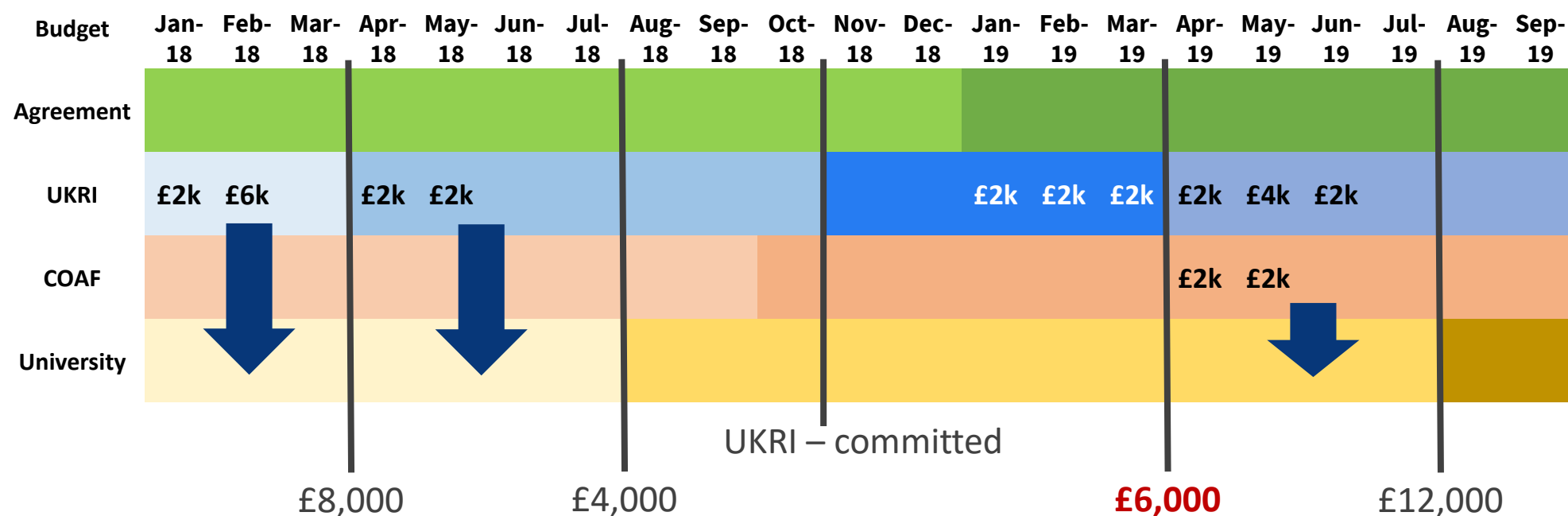
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Analysing the Deal ... Financial Mismatch



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Analysing the Deal ... Financial Mismatch



Square Peg in Round Hole?

Transforming the Budget ...



Library Subscriptions Budget

- University decides on budget**
- Decides on the resources it can afford**
- Negotiated deals**
- 3-4 years price capped**
- Able to forecast expenditure**



Awarded Open Access Grant

- Budget set by funder**
- No guarantee of funding**
- Restrictions on expenditure**
- Unable to forecast expenditure**
- Change in Funder Policy**



Square Peg in Round Hole?

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Library Subscriptions Budget

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- Cost per Download
- Resources for Undergrads
- Review of Resources in Russel Group



Awarded Open Access Grant

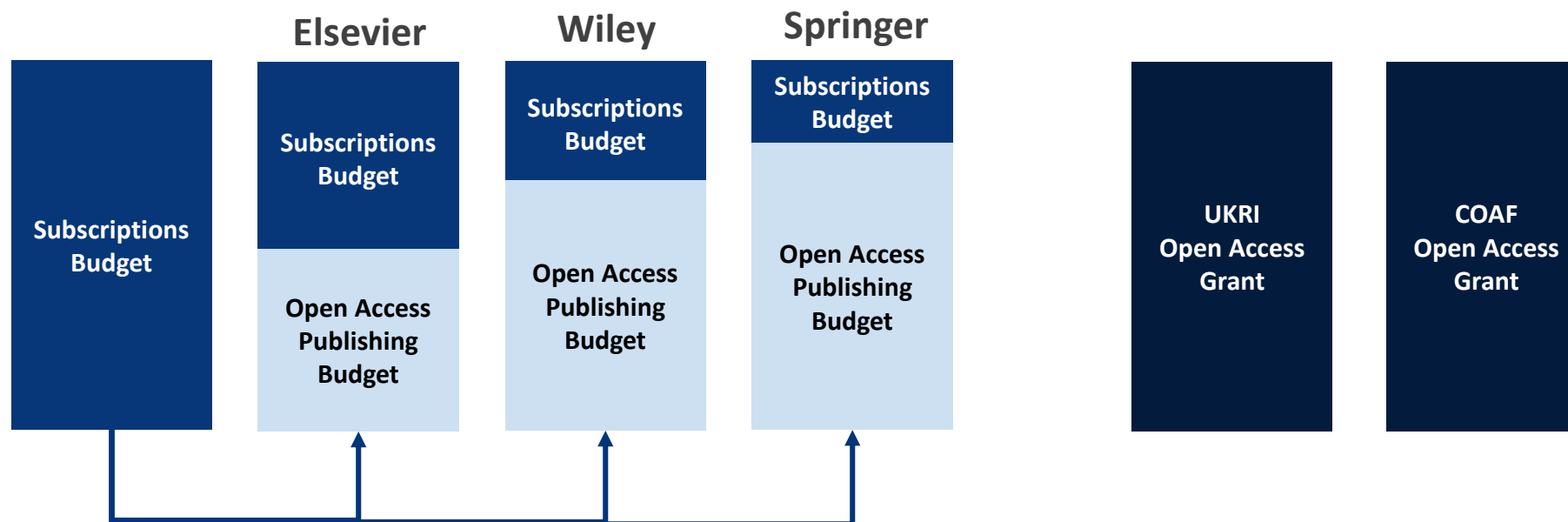
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- Supports Researchers
- Attracts Research Funding



Flipping the Budget?

From subscriptions ... to open access fund?



Plenty of Questions ...

