The Finch Report and current developments

David Ross, Executive Publisher, Open Access
LEGISLATIVE MILESTONES THROUGH THE YEARS

- 1998 SPARC (the Scholarly Publishing and Academic Resources Coalition) launched by ARL
- 2001 - Budapest Open Access Initiative – defined OA
- 2003 - Bethesda Statement on Open Access Publishing – addresses licensing
- 2003 - Berlin Declaration
- 2003 – Wellcome Trust issued a position statement in support of Open Access. Setting a price tag of $3,000 for what they saw as a reasonable amount
- 2004 - European Commission launches an inquiry to study on the economic and technical evolution of the scientific publication markets in Europe
- 2004 – UK House of Commons Science and Technology concludes that the ‘provision of STM journals in the UK is unsatisfactory’
- 2005 - The National Institutes of Health (NIH) Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research effective
- 2006- EC released a report calling for an open-access mandate to publicly-funded research.
- 2008 - Harvard faculty vote of Open Access Mandate
- 2008 - NIH Policy becomes Mandatory
- 2010 - FRPAA reintroduced
- 2011 - Research Works Act
- 2012 - Finch Report Published
- 2012 - RCUK Mandate released
2004 UK House of Commons Select Committee on Science and Technology

- UK higher education institutions should establish institutional repositories where research outputs can be deposited and read free of charge
- Research Councils and other Government funders should mandate their funded researchers to deposit a copy of all of their articles in this way
- Early indications are that the author-pays publishing model could be viable
- The market for scientific publications is international. The UK cannot act alone.
Finch Report

“Accessibility, sustainability, excellence: how to expand access to research publications”

Set up by David Willetts, Minister of State for Universities and Science in July 2011 to advise on expanding access to published research output.

While present itself as Gold/Green neutral it does advocate a Gold approach
Working Group Members

- Dame Janet Finch, DBE (Chair) Professor of Sociology, University of Manchester
- Simon Bell Head of Strategic Partnerships and Licensing, British Library
- Dr Laura Bellingan FSB Head of Science Policy, Society of Biology
- Robert Campbell Senior Publisher, Wiley Blackwell
- Professor Peter Donnelly FRS Professor of Statistical Science, University of Oxford and Director of the Wellcome Trust Centre for Human Genetics
- Dr Rita Gardner CBE Director, Royal Geographical Society
- Professor Martin Hall Vice Chancellor, University of Salford and Chair, Open Access Implementation Group
- Steven Hall Managing Director, IoP Publishing
- Robert Kiley Head of Digital Services, Wellcome Trust
- Wim van der Stelt Executive Vice President Corporate Strategy, Springer
- David Sweeney Director, Research Innovation and Skills, HEFCE
- Phil Sykes Librarian, University of Liverpool and Chair, Research Libraries UK
- Professor Adam Tickell Pro-Vice-Chancellor (Research and Knowledge Transfer), University of Birmingham
- Drs Astrid Wissenburg Partnerships & Communication and Deputy CEO, ESRC, and chair of the RCUK Knowledge Transfer and Economic Impact Group
- Ron Egginton OBE (Observer) Research Base Directorate, Department for Business Innovation and Skills
- Dr Michael Jubb Director, Research Information Network
Key Recommendations

- Supports publication in open access or hybrid journals, funded by APCs
- Research Councils should establish more effective arrangements to meet the costs of publishing in open access and hybrid journals
- Supports policies to minimise restrictions on the rights of use and re-use
- Subject and institutional repositories should be developed so that they play a valuable role complementary to formal publishing
- Embargo periods should be considered carefully, to avoid undue risk to valuable journals that are not funded in the main by APCs
- Walk in access to the majority of journals to be provided in public libraries across the UK should be pursued with vigour
- All stakeholders should work together to transition
Open Access Policy

- As of 1st April, all research articles that result from a RCUK funded research must be published Open Access

- A preference for immediate Gold OA facilitated by payment of APCs

- If Gold publication not possible – embargoed green is acceptable (mandated embargoes vary)

- CC BY licence required for Gold, CC BY-NC for Green

- Block Grants to fund APCs being made available directly to the University - Light touch regulation with universities left to decide how to they want to administer
• OSTP Directive – applies to any federal agency with a budget over $100m. Requires green deposit with a 12 month embargo as a guideline

• FASTR (new FRPAA) - requires green deposit with 6 month embargo.
Beginning 2014 all articles produced with funding from Horizon 2020 will have to be accessible either by:

- Gold with APC eligible for reimbursement
- Green with an embargo of no later than 6 months (12 months for HSS)

The goal is for 60% of European publicly-funded research articles to be available under **open access** by 2016.
27th-29th May - Berlin

“...participants...will establish and endorse a Statement of Principles on Research Integrity and agree on an action plan for implementing Open Access to Publications”