



# The Finch Report and current developments

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## LEGISLATIVE MILESTONES THROUGH THE YEARS

- 1998 SPARC (the Scholarly Publishing and Academic Resources Coalition) launched by ARL
- 2001 - Budapest Open Access Initiative – defined OA
- 2003 - Bethesda Statement on Open Access Publishing – addresses licensing
- 2003 - Berlin Declaration
- 2003 – Wellcome Trust issued a position statement in support of Open Access. Setting a price tag of \$3,000 for what they saw as a reasonable amount
- 2004 - European Commission launches an inquiry to study on the economic and technical evolution of the scientific publication markets in Europe
- 2004 – UK House of Commons Science and Technology concludes that the ‘provision of STM journals in the UK is unsatisfactory’
- 2005 - The National Institutes of Health (NIH) Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research effective
- 2006- EC released a report calling for an open-access mandate to publicly-funded research.
- 2008 - Harvard faculty vote of Open Access Mandate
- 2008 - NIH Policy becomes Mandatory
- 2010 - FRPAA reintroduced
- 2011 - Research Works Act
- 2012 - Finch Report Published
- 2012 - RCUK Mandate released



## 2004 UK House of Commons Select Committee on Science and Technology

- UK higher education institutions should establish institutional repositories where research outputs can be deposited and read free of charge
- Research Councils and other Government funders should mandate their funded researchers to deposit a copy of all of their articles in this way
- Early indications are that the author-pays publishing model could be viable
- The market for scientific publications is international. The UK cannot act alone.

# Finch Report

**“Accessibility, sustainability, excellence:  
how to expand access to research  
publications”**

**Set up by David Willetts, Minister of State for Universities and Science in July 2011 to advise on expanding access to published research output.**

**While present itself as **Gold/Green** neutral it does advocate a **Gold** approach**

## Working Group Members

- Dame Janet Finch, DBE (Chair) Professor of Sociology, University of Manchester
- Simon Bell Head of Strategic Partnerships and Licensing, British Library
- Dr Laura Bellingan FSB Head of Science Policy, Society of Biology
- Robert Campbell Senior Publisher, Wiley Blackwell
- Professor Peter Donnelly FRS Professor of Statistical Science, University of Oxford and Director of the Wellcome Trust Centre for Human Genetics
- Dr Rita Gardner CBE Director, Royal Geographical Society
- Professor Martin Hall Vice Chancellor, University of Salford and Chair, Open Access Implementation Group
- Steven Hall Managing Director, IoP Publishing
- Robert Kiley Head of Digital Services, Wellcome Trust
- Wim van der Stelt Executive Vice President Corporate Strategy, Springer
- David Sweeney Director, Research Innovation and Skills, HEFCE
- Phil Sykes Librarian, University of Liverpool and Chair, Research Libraries UK
- Professor Adam Tickell Pro-Vice-Chancellor (Research and Knowledge Transfer), University of Birmingham
- Drs Astrid Wissenburg Partnerships & Communication and Deputy CEO, ESRC, and chair of the RCUK Knowledge Transfer and Economic Impact Group
- Ron Egginton OBE (Observer) Research Base Directorate, Department for Business Innovation and Skills
- Dr Michael Jubb Director, Research Information Network

# Key Recommendations

- Supports publication in open access or hybrid journals, funded by APCs
- Research Councils should establish more effective arrangements to meet the costs of publishing in open access and hybrid journals
- Supports policies to minimise restrictions on the rights of use and re-use
- Subject and institutional repositories should be developed so that they play a valuable role complementary to formal publishing
- Embargo periods should be considered carefully, to avoid undue risk to valuable journals that are not funded in the main by APCs
- Walk in access to the majority of journals to be provided in public libraries across the UK should be pursued with vigour
- All stakeholders should work together to transition

# Open Access Policy

- As of 1<sup>st</sup> April, all research articles that result from a RCUK funded research must be published Open Access
- A preference for immediate Gold OA facilitated by payment of APCs
- If Gold publication not possible – embargoed green is acceptable (mandated embargoes vary)
- CC BY licence required for Gold, CC BY-NC for Green
- Block Grants to fund APCs being made available directly to the University - Light touch regulation with universities left to decide how to they want to administer







## Office of Science and Technology Policy

- OSTP Directive – applies to any federal agency with a budget over \$100m. Requires green deposit with a 12 month embargo as a guideline
- FASTR (new FRPAA) - requires green deposit with 6 month embargo.



Beginning 2014 all articles produced with funding from Horizon 2020 will have to be accessible either by:

- Gold with APC eligible for reimbursement
- Green with an embargo of no later than 6 months (12 months for HSS)

The goal is for **60%** of European publicly-funded research articles to be available under **open access** by **2016**.



**27<sup>th</sup>-29<sup>th</sup> May - Berlin**

**“...participants...will establish and endorse a Statement of Principles on Research Integrity and agree on an action plan for implementing Open Access to Publications”**